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### FACSIMILE TRANSMITTAL SHEET

Firm Name:    1-571-273-8300   Telephone No.:							
From: Kevin G. Shao  Reg. No.: 45,095  Date: February 26, 2008  Time: Approx. 11:45 a.m. (PST)  BSTZ Matter No. 8433P005  Number of pages including cover sheet: 28  In Re Patent Application of: Santosh Kumar Sadananda  Application No.: 10/781,227  Filed: February 17, 2004  Title: METHODS AND APPARATUSES FOR HANDLING MULTIPLE FAILURES IN AN OPTICAL NETWORK Enclosed are the following documents:  X Amendment/Response (RCE) (19 pgs)							
Date: February 26, 2008  Time: Approx. 11:45 a.m. (PST)  BSTZ Matter No. 8433P005  Number of pages including cover sheet: 28  In Re Patent Application of: Santosh Kumar Sadananda  Application No.: 10/781,227  Filed: February 17, 2004  Title: METHODS AND APPARATUSES FOR HANDLING MULTIPLE FAILURES IN AN OPTICAL NETWORK Enclosed are the following documents:  X Amendment/Response (RCE) (19 pgs)							
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In Re Patent Application of:    Santosh Kumar Sadananda							
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X Amendment/Response (RCE) (19 pgs)  1 Declaration & P of A ( pgs)  1 Appeal Brief ( pgs) (in triplicate)  1 Drawings: # of sheets w/ figs.  1 Utility Application ( pgs)  1 Month Petition re Ext. of Time)  1 L53(b) Cont. Application ( pgs)  1 IDS & PTO 1449 ( pgs)  1 Issue Fee Transmittal ( pgs)  X Fee Transmittal (3 pgs) (duplicate)							
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1 1.53(b) Cont. Application ( pgs)       i IDS & PTO 1449 ( pgs)       i Transmittal Letter ( pgs) (in duplicate)         i 1.53(b) Divisional Application ( pgs)       i Issue Fee Transmittal ( pgs)       X Fee Transmittal (3 pgs) (duplicate)							
i 1.53(b) Divisional Application ( pgs) i Issue Fee Transmittal ( pgs) X Fee Transmittal (3 pgs) (duplicate)							
1 1.53(b) CIP Application ( pgs) 1 Notice of Appeal ( pgs) 1 Deposit Account Authorization							
1 1.53(d) RCA Transmittal ( pgs)  1 Petition re ( pgs)  X Request for Continued Examination (RCE) (1 pg.)							
i PCT Application ( pgs) i Power of Attorney ( pgs) X Deposit Acct. Authorization							
i Provisional Application ( pgs) i Declaration by Inventor(s) ( pgs) X Request for Change of Docket Number							
i Assignment & Cover Sheet (pgs) i Supplemental Declaration (_pgs) iOther:							
X Certificate of Transmission by Fax 1 Preliminary Amendment ( pgs) 1Other:							
CERTIFICATE OF TRANSMISSION							
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:  Date of Transmission: February 26, 2008							
Dianne Neathery (Typed or printed name of person transmitting paper)							
Flame Neathan							
(Signature of person transmitting paper)							

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T-409 P.002 F-004

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Page 1 of 3

FEE TRANSMITTAL FOR FY 2008 (Effective on 9/30/2007. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 810.00 Complete if Known: 10/781,227 Application No. February 17, 2004 Filing Date Santosh Kumar Sadananda First Named Inventor <u>Li, Shi K.</u> Examiner Name 2613 Art Unit Attorney Docket No. 8433P005 Applicant claims small entity status. See 37 CFR 1.27. METHOD OF PAYMENT (check all that apply) Other (please identify) Money Order None \_ Credit Card Check \_\_\_ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **Small Entity** Large Entity Fee Fee Fee Fee Fees Paid (\$) Fee Description (\$) Code (\$) Code 155 Utility application filing fee 1011 310 2011 1.030/515 Utility search fee 2111 255 1111 510 Utility examination fee 2311 105 1311 210 Design application filing fee 2012 105 210 1012 440/220 Design search fee 50 1112 100 2112 Design examination fee 2312 65 130 1312 Plant filing fee 105 2013 1013 210 680/340 Plant search fee 155 2113 1113 310 Plant examination fee 80 160 2313 1313 405 Reissue filling fee 2004 810 1004 1.940/970 Reissue search fee 255 1114 510 2114 Reissue examination fee 2314 310 1314 620 Provisional application filing fee 105 1005 210 2005 SUBTOTAL (1) \$ 0.00

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Page 2 of 3

T-409 P.003

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2. EXCESS CLAIM FEES								
Fee Description           Large Entity         Small Entity           Fee         Fee         Fee           Code (\$)         Code (\$)         Fee Description           1202         50         2202         25           1201         210         2201         105         Each claim over 20           1203         370         2203         185         Multiple dependent claims, if not paid           1204         210         2204         105         Reissue: each claim over 20 and more than in the original patent           1205         50         2205         25         Reissue: each independent claim more than in the original patent								
Extra Claims Fee Fees Pa	id (\$)							
Total Claims 50 52 or HP = X \$ 50.00 =	_							
HP = highest number of total claims paid for, if greater than 20 Independent Claims 6 - 6 or HP = X \$210.00 =	_							
HP = highest number of independent claims paid for, if greater than 3  Multiple Dependent Claims	_							
SUBTOTAL (2) \$ 0.00	_ ·							
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Number of each additoinal Fee from  Total Sheets Extra Sheets 50 or fraction thereof below Fees I	oaid (\$)							
Large Entity Fee Fee Fee Code (\$)  Code (\$)  Small Entity Fee Description: Application size fee for each additional group of beyond Initial 100 sheets (count spec & drawings except sequences & pro	50 sheets gram listings):							
1081 260 2081 130 Utility								
1082 260 2082 130 Design 1083 260 2083 130 Plant								
1084 260 2084 130 Reissue								
1004 200 2001								
SUBTOTAL (3) \$ 0.00	_							

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### FEE CALCULATION (continued) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Small Entity Large Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Surcharge - late filing fee or oath 2051 65 130 1051 Surcharge - late provisional filing fee or cover sheet 25 2052 1052 50 Non-English specification 130 1053 130 1053 For filing a request for ex parte reexamination 2,520 1812 2.520 1812 Request for Inter parties reexamination 8,800 8.800 1813 1813 Requesting publication of SIR prior to Examiner action 920\* 1804 920\* 1804 Requesting publication of SIR after Examiner action 1.840\* 1805 1,840\* 1805 Extension for reply within first month 60 2251 120 1251 Extension for reply within second month 230 460 2252 1252 Extension for reply within third month 2253 525 1,050 1253 Extension for reply within fourth month 1,640 2254 820 1254 Extension for reply within fifth month 1,115 2255 2,230 1255 **Notice of Appeal** 255 510 2401 1401 Filing a brief in support of an appeal 255 510 2402 1402 Request for oral hearing 515 1.030 2403 1403 Petition to institute a public use proceeding 1,510 1451 1451 1,510 Petition to revive - unavoidable 255 2452 1452 510 Petition to revive - unintentional 770 2453 1453 1,540 Utility issue fee (or reissue) 720 2501 1501 1,440 Design issue fee 410 820 2502 1502 Plant issue fee 565 1,130 2503 1503 Petitions to the Commissioner (CFR 1.17(f) Group I) 400 1462 1462 400 Petitions to the Commissioner (CFR 1.17(g) Group II) 1463 200 1463 200 Petitions to the Commissioner (CFR 1.17(h) Group III) 130 1464 1464 130 Processing fee under 37 CFR 1.17(q) 1807 50 50 1807 Submission of Information Disclosure Stmt 180 1806 1806 · 180 Recording each patent assignment per 8021 40 40 8021 property (times number of properties) For filing a submission after final rejection 2809 405 810 1809 (see 37 CFR 1.129(a)) Statutory Disclaimer 2814 65 130 1814 For each additional invention to be examined 2810 405 1810 810 (see 37 CFR 1.129(b)) 810.00 Request for Continued Examination (RCE) 405 2801 810 1801 Request for expedited examination of a design 900 900 1802 1802 application Publication fee for early, voluntary, or normal pub. 300 300 1504 1504 Publication fee for republication 1505 300 1505 300 Request for voluntary publication or republication 1803 130 1803 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 130 1808 1808 130 Acceptance of unintentionally delayed claim for priority 1,410 1,410 1454 1454 Other fee (specify) Other fee (specify) SUBTOTAL (4) \$ 810.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Kevin G. Shao Typed or Printed Name: Date: February 26, 2008 Signature: Telephone Number: 408-720-8300 Reg. Number: 45,095 Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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Page 1 of 3

FEE TRANSMITTAL FOR FY 2008 (Effective on 9/30/2007. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 810.00 Complete if Known: 10/781,227 Application No. February 17, 2004 Filing Date Santosh Kumar Sadananda First Named Inventor Li, Shi K. Examiner Name \_ 2613 Art Unit 8433P005 Attorney Docket No. Applicant claims small entity status. See 37 CFR 1.27. METHOD OF PAYMENT (check all that apply) Other (please identify) Money Order None \_ Credit Card Check \_\_\_ **Deposit Account** Deposit Account Number: 02-2666 **Deposit Account Name:** The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) Indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **Small Entity** Large Entity Fee Fee Fee Fee Fees Paid (\$) Fee Description (\$) Code (\$) Code Utility application filing fee 2011 155 310 1011 1,030/515 Utility search fee 2111 255 1111 510 105 Utility examination fee 2311 1311 210 Design application filing fee 105 1012 210 2012 440/220 Design search fee 50 1112 100 2112 Design examination fee 65 130 2312 1312 Plant filing fee 210 2013 105 1013 680/340 Plant search fee 155 2113 1113 310 Plant examination fee 2313 80 160 1313 2004 405 Reissue filing fee 810 1004 1,940/970 Reissue search fee 255 1114 510 2114 310 Reissue examination fee 1314 620 2314 Provisional application filing fee 1005 210 2005 105 SUBTOTAL (1) \$ 0.00

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Page 2 of 3

2. EXCESS CLAIM FEES								
Foo Description								
Fee Description Large Entity Small Entity								
Fee Fee Fee Fee								
Code (\$) Code (\$) Fee Description								
1202 50 2202 25 Each claim over 20								
1201 210 2201 105 Each independent claim over 3								
1203 370 2203 185 Multiple dependent claims, if not paid	đ							
1204 210 2204 105 Reissue: each claim over 20 and mo	re than in the original patent							
1205 50 2205 25 Reissue: each independent claim mo	ore than in the original patent							
Extra Claims	Fee Fees Paid (\$)							
Total Claims 50 52 or HP =	X \$ 50.00 =							
HP = highest number of total claims paid for, if greater than 20	A 00.00							
Independent Claims 6 -6 or HP =	X \$210.00 =							
HP = highest number of independent claims paid for, if greater than 3								
Multiple Dependent Claims	=							
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	SUBTOTAL (2) \$ 0.00							
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3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Number of each additoins	al Fee from							
Total Sheets Extra Sheets 50 or fraction thereof	below Fees paid (\$)							
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/ 50 = (round up to whole	number) X \$260.00							
	•							
Large Entity Small Entity								
Fee Fee Fee Fee Fee Description: Application size for	ee for each additional group of 50 sheets							
Code (\$) Code (\$) beyond initial 100 sheets (count spec	& drawings except sequences & program listings):							
1081 260 2081 130 Utility	·							
1081 260 2081 130 Otthry								
1083 260 2083 130 Plant								
1084 260 2084 130 Reissue								
·								
	SUBTOTAL (3) \$ 0.00							
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Page 3 of 3

FEE C	ALCULA	TION (co	ntinued)				
4. OTHER FEE(S)							
'' =		2127			Fees Paid (\$)		
Non-E	nglish Spe	cification,	\$130 fee	(no small entity discount)			
Large		Small E		·	:		
Fee	Fee	Fee	Fee	For Depositors			
Code	(\$)	Code	(\$) SF	Fee Description			
1051	130	2051	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet			
1052 1053	50 130	2052 1053	130	Non-English specification			
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<del></del>		
1813	2,520 8,800	1813	8,800	Request for inter parties reexamination			
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
1805	1.840*	1805	1,840*	Requesting publication of SIR after Examiner action			
1251	120	2251	60	Extension for reply within first month			
1252	460	2252	230	Extension for reply within second month			
1253	1.050	2253	525	Extension for reply within third month			
1254	1,640	2254	820	Extension for reply within fourth month			
1255	2,230	2255	1,115	Extension for reply within fifth month			
1401	510	2401	255	Notice of Appeal			
1402	510	2402	255	Filing a brief in support of an appeal			
1403	1,030	2403	515	Request for oral hearing			
1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1452	510	2452	255	Petition to revive – unavoldable	<del></del>		
1453	1,540	2453	770	Petition to revive - unintentional	<del></del>		
1501	1,440	2501	720	Utility issue fee (or reissue)	······································		
1502	820	2502	410	Design Issue fee			
1503	1,130	2503	565	Plant issue fee			
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)			
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	*		
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)			
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt			
8021	. 40	8021	40	Recording each patent assignment per property (times number of properties)			
1809	810	2809	405	For filing a submission after final rejection			
				(see 37 CFR 1.129(a))			
1814	130	2814	65	Statutory Disclaimer	·		
1810	810	2810	405	For each additional Invention to be examined (see 37 CFR 1.129(b))			
1801	810	2801	405	Request for Continued Examination (RCE)	810.00		
1802	900	1802	900	Request for expedited examination of a design application			
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.			
1505	300	1505	300	Publication fee for republication			
1803	130	1803	130	Request for voluntary publication or republication			
1808	130	1808	130	Processing fee under 37 CFR 1.17(I) (except provisionals)			
1454	1,410	1454	1,410	Acceptance of unintentionally delayed claim for priority	<b>/</b>		
Other fee (specify)							
Other 1	fee (specif	y)					
SUBTOTAL (4) \$ 810.00							
*Reduced by Basic Filing Fee Paid							
SUBMITTED BY:							
Typed or Printed Name: Kevin G. Shao							
Signature: Date: February 26, 2008							
Reg. Number: 45,095 Telephone Number: 408-720-8300							

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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Patent Patent

T-409

P.028/028

F-004

Attorney Docket No.: 8433P005

Confirmation No.: 2364

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Santosh Kumar Sadananda

Serial No.: 10/781,227

Filed:

February 17, 2004

For: METHODS AND APPARATUSES FOR

HANDLING MULTIPLE FAILURES IN

AN OPTICAL NETWORK

Examiner: Li, Shi K.

Art Unit: 2613

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being facsimile transmitted to 571-273-8300, Attention: Examiner Shi K.

Li, at the U.S. Patent & Trademark Office

on February 26, 2008 Date of Deposit

<u>au</u>us 16 Dianne Neathery

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

Sir:

Please change the attorney docket number of the above-identified patent application to the following:

> From: 6518P005 To: 8433P055

Please update the Patent Office records to reflect the new Attorney Docket Number. Please charge Deposit Account 02-2666 for any additional charges that may be due.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 26, 2008

Kevin G. Shao Reg. No. 45,095

1279 Oakmead Parkway Sunnyvale, CA 94085-4040 (408) 720-8300